UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

7590

09/15/2008

BREINER & BREINER, L.L.C. P.O. BOX 320160 **ALEXANDRIA, VA 22320-0160**

EXAMINER BOESEN, AGNIESZKA ART UNIT PAPER NUMBER

1648

DATE MAILED: 09/15/2008

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/574,961	07/05/2006	Stefan Knackmuss	6713/PCT	9714

TITLE OF INVENTION: SINGLE-CHAIN ANTIBODY ACTING AGAINST 37 KDA/67 KDA LAMININ RECEPTOR AS TOOLS FOR THE

DIAGNOSIS AND THERAPY OF PRION DISEASES AND CANCER, PRODUCTION AND USE THEREOF

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$720	\$300	\$0	\$1020	12/15/2008

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current **SMALL ENTITY status:**

A. If the status is the same, pay the TOTAL FEE(S) DUE shown

B. If the status above is to be removed, check box 5b on Part B -Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where n

appropriate. All further correspondence including the Patent, advance or indicated unless corrected below or directed otherwise in Block 1, by (a maintenance fee notifications. CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)			Note Feet pape	e: A certificate of n (s) Transmittal. This ers. Each additional	nailing can only be used certificate cannot be used paper, such as an assignn	for domestic mailings of the I for any other accompanying nent or formal drawing, must
6858	7590 09/15/	/2008	have		of mailing or transmission	
BREINER & BREINER, L.L.C. P.O. BOX 320160 ALEXANDRIA, VA 22320-0160			I he Stat addi tran	reby certify that this es Postal Service wi ressed to the Mail	ficate of Mailing or Transmittal is bei th sufficient postage for f Stop ISSUE FEE addres O (571) 273-2885, on the	ng deposited with the United irst class mail in an envelope as above, or being facsimile
						(Depositor's name)
						(Signature)
						(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR		ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/574,961	07/05/2006	•	Stefan Knackmuss	•	6713/PCT	9714
			AGAINST 37 KDA/67 F PRODUCTION AND USE		ECEPTOR AS TOOLS	FOR THE
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE	FEE TOTAL FEE(S) DU	E DATE DUE
nonprovisional	YES	\$720	\$300	\$0	\$1020	12/15/2008
EXAMI	INER	ART UNIT	CLASS-SUBCLASS]		
BOESEN, AC	GNIESZKA	1648	424-135100	•		
 Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Custome Number is required. 			(1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.			
PLEASE NOTE: Unle	ess an assignee is identi i in 37 CFR 3.11. Comp iNEE	ified below, no assignee bletion of this form is NO	T a substitute for filing an (B) RESIDENCE: (CITY	atent. If an assigned assignment. and STATE OR CO	DUNTRY)	document has been filed for group entity
Please check the appropri	ate assignee category or	categories (will not be pr	rinted on the patent):	i individuai 🖵 Cor	poration or other private g	group entity Government
4a. The following fee(s) are submitted: ☐ Issue Fee ☐ Publication Fee (No small entity discount permitted) ☐ Advance Order - # of Copies			 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) A check is enclosed. Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any 			
			overpayment, to Depo	sit Account Number	(enclose	an extra copy of this form).
5. Change in Entity Stat a. Applicant claims	us (from status indicated SMALL ENTITY statu	,	☐ b. Applicant is no long	ger claiming SMALl	L ENTITY status. See 37	CFR 1.27(g)(2).
NOTE: The Issue Fee and interest as shown by the re	l Publication Fee (if requecords of the United Sta	uired) will not be accepte tes Patent and Trademark	d from anyone other than t Office.	he applicant; a regis	tered attorney or agent; or	the assignee or other party in
Authorized Signature				Date		
Typed or printed name						
This collection of informa an application. Confident submitting the completed this form and/or suggestic Box 1450, Alexandria, Vi Alexandria, Virginia 2231	iality is governed by 35 application form to the ons for reducing this bur irginia 22313-1450. DO	FR 1.311. The informatic U.S.C. 122 and 37 CFR USPTO. Time will vary den, should be sent to th O NOT SEND FEES OR	on is required to obtain or r 1.14. This collection is est depending upon the indive e Chief Information Office COMPLETED FORMS TO	retain a benefit by the imated to take 12 m idual case. Any con er, U.S. Patent and T D THIS ADDRESS.	e public which is to file (a inutes to complete, includ aments on the amount of rademark Office, U.S. De SEND TO: Commissione	nd by the USPTO to process) ling gathering, preparing, and time you require to complete partment of Commerce, P.O. or for Patents, P.O. Box 1450,

PTOL-85 (Rev. 08/07) Approved for use through 08/31/2010.

OMB 0651-0033

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO. FILING DATE		FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/574,961 07/05/2006		Stefan Knackmuss	6713/PCT	9714
6858 73	590 09/15/2008		EXAM	INER
BREINER & BR	EINER, L.L.C.	BOESEN, AGNIESZKA		
P.O. BOX 320160 ALEXANDRIA, VA 22320-0160			ART UNIT	PAPER NUMBER
			1648	
			DATE MAILED: 09/15/2008	

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 30 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 30 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	Application No.	Applicant(s)			
	10/574,961	KNACKMUSS ET AL.			
Notice of Allowability	Examiner	Art Unit			
	AGNIESZKA BOESEN	1648			
The MAILING DATE of this communication app All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85 NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.31	rears on the cover sheet with 5 (OR REMAINS) CLOSED in th) or other appropriate communi RIGHTS. This application is sub	the correspondence address nis application. If not included cation will be mailed in due course. THIS			
1. This communication is responsive to <u>June 4, 2008</u> .					
2. The allowed claim(s) is/are <u>1,5,10,24 and 27-36</u> .					
 3. Acknowledgment is made of a claim for foreign priority units a) All b) Some* c) None of the: 1. Certified copies of the priority documents have 2. Certified copies of the priority documents have 3. Copies of the certified copies of the priority documents have International Bureau (PCT Rule 17.2(a)). * Certified copies not received: 	e been received. e been received in Application	No			
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDON! THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 4. A SUBSTITUTE OATH OR DECLARATION must be subn	MENT of this application.				
INFORMAL PATENT APPLICATION (PTO-152) which giv	ves reason(s) why the oath or d				
 CORRECTED DRAWINGS (as "replacement sheets") mu (a) ☐ including changes required by the Notice of Draftsper 		PTO-948) attached			
1) hereto or 2) to Paper No./Mail Date		1 10-540) attached			
(b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date					
Identifying indicia such as the application number (see 37 CFR each sheet. Replacement sheet(s) should be labeled as such in					
6. DEPOSIT OF and/or INFORMATION about the deposit attached Examiner's comment regarding REQUIREMENT					
Attachment(s) 1. ☐ Notice of References Cited (PTO-892) 2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948) 3. ☑ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date June 4, 2008 4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material	6. ☐ Interview Sum Paper No./Mi 7. ☑ Examiner's Ar	rmal Patent Application nmary (PTO-413), ail Date nendment/Comment atement of Reasons for Allowance			
/Agnieszka Boesen/ Examiner, Art Unit 1648					
Examiner, Art Offic 1040					

Application/Control Number: 10/574,961 Page 2

Art Unit: 1648

EXAMINER'S AMENDMENT

An examiner's amendment to the record appears below. Should the changes and/or

additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR

1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the

payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with

Mary Brainer on September 11, 2008.

The application has been amended as follows:

IN THE CLAIMS:

Cancel claims 2 and 6.

Amend the allowed claims 1, 5, 10, 24 and 27 as follows:

1. A single-chain antibody molecule, which is directed specifically against laminin

receptor precursor (LRP) /laminin receptor (LR), and which comprises amino acid

sequence SEQ ID NO: 2.

5. A single-chain antibody molecule, which is directed specifically against LRP/LR,

and which comprises amino acid sequence SEQ ID NO: 4.

10. The antibody molecule as claimed in claim 1 or 2, wherein a c-myc tag is inserted

between V_L domain and hexahistidine tag.

Application/Control Number: 10/574,961 Page 3

Art Unit: 1648

24. A process for producing an antibody molecule as claimed in one of claims 1 and 2, which comprises culturing host cells comprising a cDNA comprising nucleotide sequence SEQ IDNO: 1 or SEQ ID NO: 3 carried by a replication or expression vector which is a recombinant adeno-associated virus (AAV), under conditions effective for expression of an antibody molecule.

27. A diagnostic composition which comprises an antibody molecule according to one of claims 1 and 2 in combination with an acceptable diluent and/or vehicle.

Conclusion

Claims 1, 5, 10, 24 and 27-36 are allowable.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Agnieszka Boesen whose telephone number is 571-272-8035. The examiner can normally be reached on 9:00 AM to 5:30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Bruce Campell can be reached on 571-272-0974. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 10/574,961 Page 4

Art Unit: 1648

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Agnieszka Boesen/ Examiner, Art Unit 1648

/Bruce Campell/ Supervisory Patent Examiner, Art Unit 1648